54th Annual

Securities Regulation Institute

FEB 1–3, 2027
CORONADO, CA

Monday, February 1, 2027

7–8 a.m. | Registration and Continental Breakfast

8–8:10 a.m. | Welcome and Overview

Zachary Clopton, Dean, Daniel Hale Williams Professor of Law, Northwestern Pritzker School of Law, Chicago (recorded)

David Lynn, Institute Chair, Goodwin Procter LLP, Washington, DC

8:10–8:50 a.m. | Embracing Technology: What it Means for the Markets and Your Practice

SESSION CHAIR

Keir Gumbs, Institute Vice Chair, Principal, Chief Legal Officer, Edward Jones, Washington, DC

PANELISTS

Heather Childress, SVP, Deputy General Counsel, Flex, Palo Alto, CA

Katie Waitzman, VP, Chief Deputy General Counsel, Uber Technologies Inc., San Francisco

Bradley Weber, Goodwin Procter LLP, Silicon Valley, CA

8:50–9 a.m. | Break

9–9:45 a.m. | Alan B. Levenson Keynote Address

SPEAKER

Paul Atkins, Chairman, U.S. Securities and Exchange Commission, Washington, DC

9:45–10 a.m. | Break

10–11 a.m. | General Counsel Roundtable

SESSION CHAIR

Lillian Brown, Wilmer Cutler Pickering Hale & Dorr LLP, Washington, DC

PANELISTS

Scott Morgan, Chief Legal Officer, Okta, San Francisco

Tangela Richter, Chief Legal Officer, GEICO, New York City

Samantha Wellington, SVP, Chief Legal Officer, General Counsel and Secretary, eBay Inc., San Francisco

Additional panelist to be announced.

11–11:15 a.m. | Break

11:15 a.m.–12 p.m. | Capital Markets: Private Offerings

SESSION CHAIR

Allison Spinner, Wilson Sonsini Goodrich & Rosati, Palo Alto, CA

PANELISTS

Brittany Skoda, Global Head of AI & Software Banking, Morgan Stanley, San Francisco

Albert Vanderlaan, Orrick, Herrington & Sutcliffe LLP, Boston

Additional panelist to be announced.

12–1:15 p.m. | Lunch

1:15–2 p.m. | Capital Markets: Public Offerings

SESSION CHAIR

David Peinsipp, Cooley LLP, Palo Alto, CA

PANELISTS

Stephen Alicanti, DLA Piper LLP (US), New York City

Luna Bloom, Associate Director, Legal and Regulatory Policy, U.S. Securities and Exchange Commission, Washington, DC

Nicole Irvin, Managing Director, Goldman Sachs, San Francisco

Thomas Yang, Managing Director & Associate General Counsel, Bank of America, New York City

2–3 p.m. | Developments in the Division of Corporation Finance

SPEAKER

Jim Moloney, Director, Division of Corporation Finance, U.S. Securities and Exchange Commission, Washington, DC

MODERATOR

Lona Nallengara, A&O Shearman, New York City

3–3:15 p.m. | Break

3:15–4:15 p.m. | Ethics

SESSION CHAIR

Robert Evans, General Counsel, Savvly, Inc., New York City

PANELISTS

Lawrence Spiegel, Skadden, Arps, Slate, Meagher & Flom LLP, New York City

Additional panelists to be announced.

4:15–4:30 p.m. | Break

4:30–5:30 p.m. | Shareholder Activism

SESSION CHAIR

Derek Zaba, Sidley Austin LLP, Palo Alto, CA

PANELISTS

Michael Brown, EVP – Chief Legal & Compliance Officer, Dexcom, Inc., San Diego

John Christiansen, Partner, Co-Head of West Coast & Co-Head of Transaction & Financial Communications Practice, FGS Global, San Francisco

Erica Lukoski, Managing Director, PJT Partners, New York City

Elina Tetelbaum, Wachtell, Lipton, Rosen & Katz, New York City

Ted Yu, Chief Counsel, Division of Corporation Finance, U.S. Securities and Exchange Commission, Washington, DC

5:30–6:45 p.m. | Attendee Reception

Tuesday, February 2, 2027

7:15 a.m. | Continental Breakfast

8–9:15 a.m. | Recurring Disclosure Challenges

SESSION CHAIR

David Fredrickson, Covington & Burling LLP, Washington, DC

PANELISTS

Brian Breheny, Skadden, Arps, Slate, Meagher & Flom LLP, Washington, DC

Christine Cavallo, Chief Corporate and Securities Counsel, Chevron Corporation, San Ramon, CA

Duc Dang, Deputy Director, Disclosure Operations, Division of Corporation Finance, U.S. Securities and Exchange Commission, Washington, DC

Thomas Kim, Gibson, Dunn & Crutcher LLP, Washington, DC

Lillian Tsu, Cleary Gottlieb Steen & Hamilton LLP, New York City

9:15–9:25 a.m. | Break

9:25–10:25 a.m. | Accounting & Auditing

SESSION CHAIR

Kyle Moffatt, Partner, Professional Practice Group Leader, PwC, Florham Park, NJ

PANELISTS

Reid Hooper, Deputy General Counsel & Vice President, Fannie Mae, Washington, DC

Ellie May, SVP, Controller, and Chief Accounting Officer, The Coca-Cola Company, Atlanta

Heather Rosenberger, Chief Accountant, Division of Corporation Finance, U.S. Securities and Exchange Commission, Washington, DC

10:25–10:45 a.m. | Break

10:45–11:45 a.m. | Proxy Panel and Shareholder Meeting Developments

SESSION CHAIR

Shelly Heyduk, O'Melveny & Myers LLP, Newport Beach, CA

PANELISTS

Elizabeth Morgan, King & Spalding LLP, New York City

Kristi Pearson, Director, Managing Counsel, Securities and Executive Compensation, and Assistant Corporate Secretary, Micron Technology, Inc., Irvine, CA

Swatika Rajaram, President, Bank & Broker-Dealer Solutions, Broadridge Financial Solutions, Inc., New York City

Christina Thomas, Deputy Director, Chief Advisor on Disclosure, Policy, and Rulemaking, Division of Corporation Finance, U.S. Securities and Exchange Commission, Washington, DC

11:45 a.m.–12:30 p.m. | Lunch

12:30–1:15 p.m. | David S. Ruder Luncheon Address – Fireside Chat

SPEAKER

Mary Jo White, Debevoise & Plimpton LLP, New York City

MODERATOR

David Lynn

1:15–1:25 p.m. | Break

1:25–2:25 p.m. | State Corporate Law Developments

SESSION CHAIR

Leo Strine Jr., Wachtell, Lipton, Rosen & Katz, New York City

PANELISTS

Jennifer Broder, Baker McKenzie, Los Angeles

The Honorable Abigail M. LeGrow, Supreme Court of Delaware, Dover, DE

John Mark Zeberkiewicz, Richards, Layton & Finger, P.A., Wilmington, DE

2:25–3:15 p.m. | Ice Cream Social

Sponsored by Houlihan Lokey

3:15–3:25 p.m. | Break

3:25–4:25 p.m. | M&A

SESSION CHAIR

Ting Chen, Cravath, Swaine & Moore LLP, New York City

PANELISTS

Denny Kwon, Freshfields US LLP, San Francisco

Bomi Lee, Fenwick & West LLP, San Francisco

Mike Ringler, Sullivan & Cromwell LLP, Palo Alto, CA

Ted Yu

4:25–4:35 p.m. | Break

4:35–5:35 p.m. | The Lightning Round: 30 Topics in 60 Minutes!

SESSION CHAIR

David Lynn

PANELISTS

Michele Anderson, Latham & Watkins LLP, Washington, DC

Sebastian Gomez Abero, Deputy Director, Legal and Regulatory Policy, Division of Corporation Finance, U.S. Securities and Exchange Commission, Washington, DC

Adé Heyliger, Weil Gotshal & Manges LLP, Washington, DC

Courtney Kamlet, VP, Chief Governance Officer & Chief of Staff, Vontier Corporation, Raleigh, NC

Wednesday, February 3, 2027

7:30 a.m. | Continental Breakfast

8–9 a.m. | Developments in the Division of Enforcement

SPEAKER

David Woodcock, Director, Division of Enforcement, U.S. Securities and Exchange Commission, Washington, DC

MODERATOR

Arian June, Debevoise & Plimpton LLP, Washington, DC

9–9:10 a.m. | Break

9:10–10:25 a.m. | SEC and Private Litigation Trends

SESSION CHAIR

Olivia Choe, Milbank LLP, Washington, DC

PANELISTS

Kristina Bunting, Paul, Weiss, Rifkind, Wharton & Garrison LLP, New York City

Michael Liftik, Chief Legal and Governance Officer, Bedrock Fund Management, LLC, Washington, DC

Lucas Moskowitz, Senior Vice President, General Counsel, and Corporate Secretary, Robinhood Markets, Inc., Washington, DC

10:25–10:40 a.m. | Break

10:40–11:25 a.m. | Internal Investigations and Whistleblowers

SESSION CHAIR

Jane Norberg, Ogletree Deakins, Washington, DC

PANELISTS

Juan Migone, Partner, Financial Advisory Services Practice, Resolution Economics LLC, Washington, DC

Fuad Rana, Davis Polk & Wardwell LLP, Washington, DC

Eduardo Santiago-Acevedo, VP and Corporate Counsel, Head of Federal Regulatory and Investigations, Prudential Financial, Inc., New York City

11:25–11:55 a.m. | Break for Boxed Lunch

11:55 a.m.–12:55 p.m. | Everything You Always Wanted to Know About Securities Laws but Were Never Given the Chance to Ask …

SESSION CHAIR

Andrew Thorpe, Gunderson Dettmer Stough Villeneuve Franklin & Hachigian, LLP, San Francisco

PANELISTS

Ryan Adams, Morrison & Foerster LLP, Washington, DC

David Brown, Alston & Bird LLP, Washington, DC

Vanina de Verneuil, Executive Vice President, General Counsel and Corporate Secretary, Vir Biotechnology, Inc., Palo Alto, CA

12:55 p.m. | Institute Concludes

Contact Us

Contact us at *professional-ed@law.northwestern.edu* with any questions.

Agenda as of 11.24.2026

THANK YOU TO OUR 2027 AFFILIATES

DIAMOND AFFILIATES

CLEARY GOTTLIEB

Cooley

Debevoise & Plimpton

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GIBSON DUNN

GOODWIN

GUNDERSON DETTMER

MORRISON FOERSTER

SIDLEY

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WINSTON TAYLOR.

LEAD AFFILIATES

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